



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/765,229
Applicant(s): Koujirou SEKINE et al
For: OPTICAL FUNCTIONAL DEVICE AND OPTICAL
INTEGRATED DEVICE
Confirmation No.: 7378
Customer No.: 24367
Docket No.: 15162/03060
Filed: January 18, 2001
Group Art Unit: 2874
Examiner: Michael J. Stahl

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on	
June 23, 2004	
Date of Deposit	
Douglas A. Sorensen	
Name of Applicant, Assignee, or Registered Representative	
	
Signature	
June 23, 2004	
Date of Signature	

AMENDMENT

This Amendment is filed in response to the Office Action dated March 3, 2004, which provides for a response period ending June 3, 2004.

07/14/2004 TDWINKS 00000008 181260 09765229
01 FC:1201 86.00 DA
02 FC:1202 ~~60.00 DA~~ A Petition for Extension of Time, to extend the response period for the Office Action, for one additional month to July 3, 2004, is being filed concurrently.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.

Application No. 09/765,229
Amendment dated June 23, 2004
Reply to Office Action of March 3, 2004

Any other fee required for such Petition for Extension of Time and any other fee required by this document pursuant to 37 C.F.R. §§ 1.16 and 1.17, other than the issue fee, and not submitted herewith should be charged to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. Any refund should be credited to the same account.

Respectfully submitted,

By: 

Douglas A. Sorensen
Registration No. 31,570
Attorney for Applicants

DAS/lb:bar
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June 23, 2004

DA1 298484v3

PATENT APPLICATION FEE DETERMINATION RECORD
Effective October 1, 2001

0976529

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS		
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	minus 20 = *	*
INDEPENDENT CLAIMS	minus 3 = *	*
MULTIPLE DEPENDENT CLAIM PRESENT		<input type="checkbox"/>

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 20	Minus	* 00 =
Independent	* 5	Minus	* 4 = 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

SMALL ENTITY TYPE	OTHER THAN SMALL ENTITY
RATE	RATE
BASIC FEE	370.00 OR BASIC FEE 740.00
X\$ 9=	X\$18=
X42=	X84=
+140=	+280=
TOTAL	TOTAL

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 30	Minus	* 20 = 10
Independent	* 5	Minus	* 4 = 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

SMALL ENTITY	OTHER THAN SMALL ENTITY
RATE	ADDI- TIONAL FEE
X\$ 9=	X\$18=
X42=	X84=
+140=	+280=
TOTAL	TOTAL
ADDT. FEE	ADDT. FEE

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 20	Minus	* 00 =
Independent	* 5	Minus	* 4 =
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

ADDI- TIONAL FEE	ADDI- TIONAL FEE
X\$ 9=	X\$18=
X42=	X84=
+140=	+280=
TOTAL	TOTAL
ADDT. FEE	ADDT. FEE

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.